

Downlink Non-Orthogonal Multiple Access Systems With an Untrusted Relay

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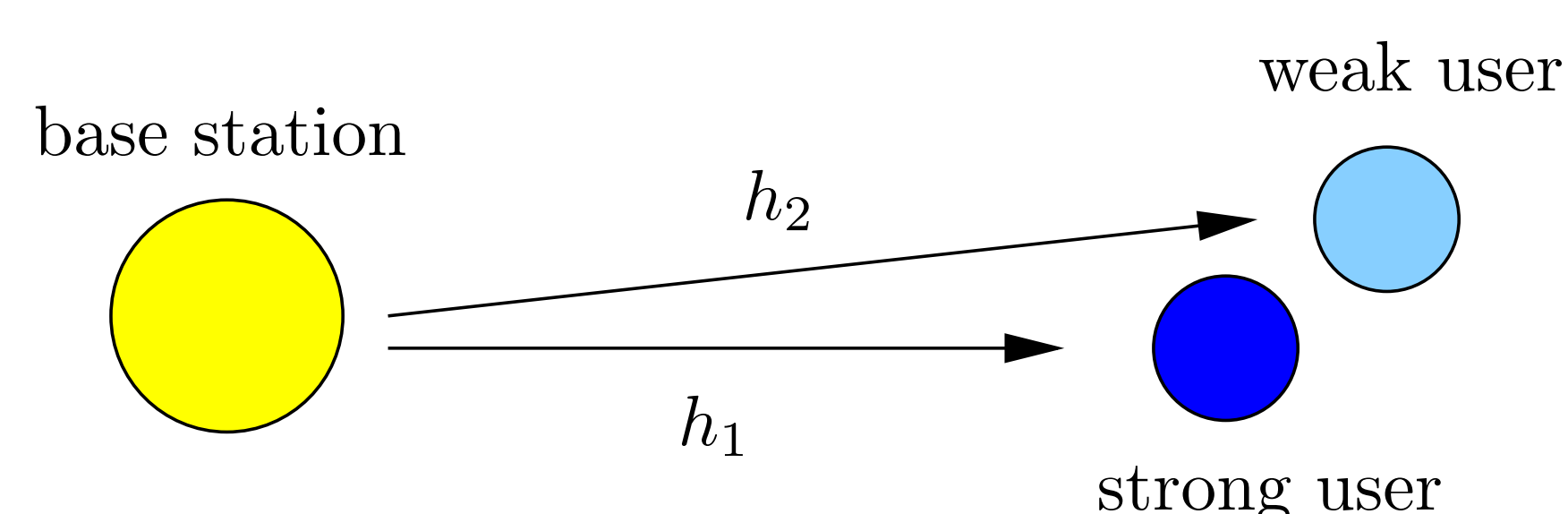


Introduction

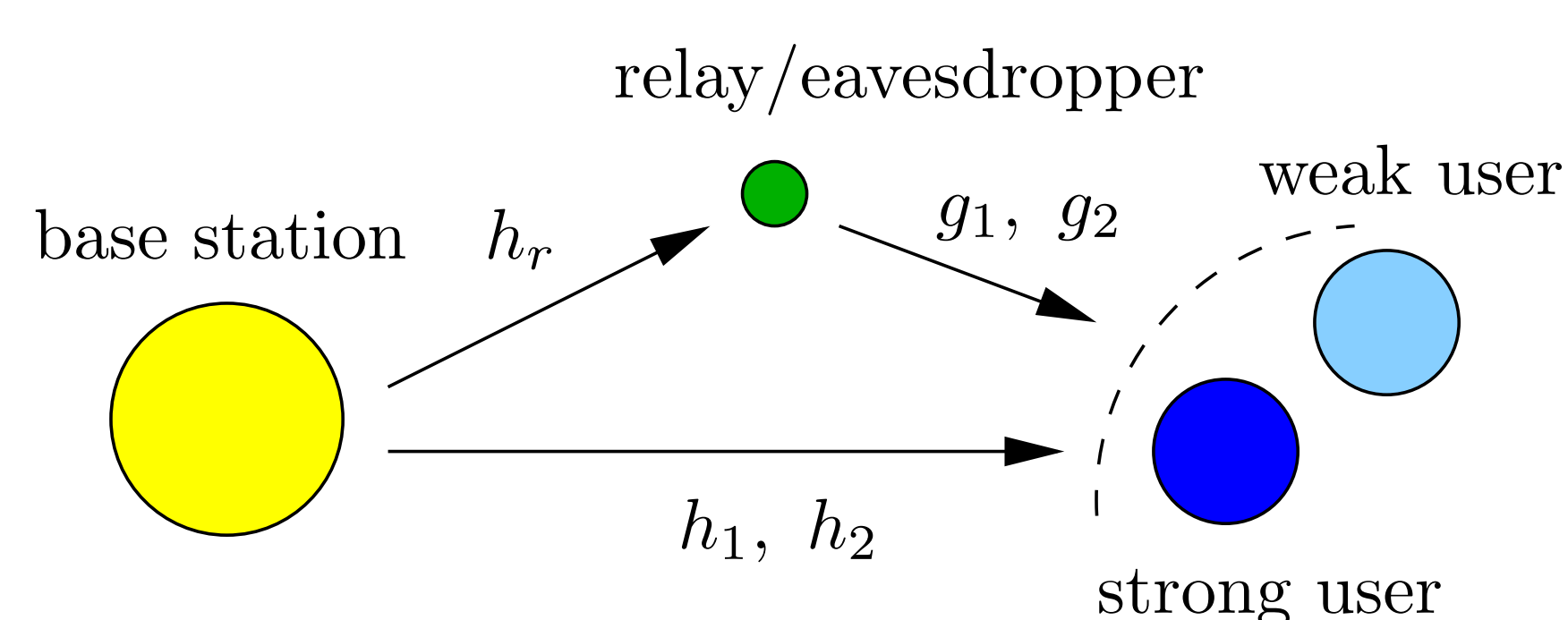
- NOMA techniques offer solutions to **spectrum scarcity and congestion** problems.
- Key feature:** efficient utilization of available resources serving multiple users *simultaneously*.
- Using a **relay** node can generally boost up achievable rates in wireless communication systems.
- What if the relay is untrusted?**
- Use **physical layer security** techniques to secure NOMA users' data from the relay, and still benefit from its presence.

System Model

- Two-user SISO Gaussian broadcast channel:



- An **untrusted half-duplex** relay assists the BS:



- BS uses **superposition coding**:

$$x = \sqrt{\alpha P} s_1 + \sqrt{\bar{\alpha} P} s_2$$

where $0 \leq \alpha \leq 1$ and $\bar{\alpha} \triangleq 1 - \alpha$.

- Treating the relay as an eavesdropper achieves the following **secrecy rates** [1, Theorem 5]:

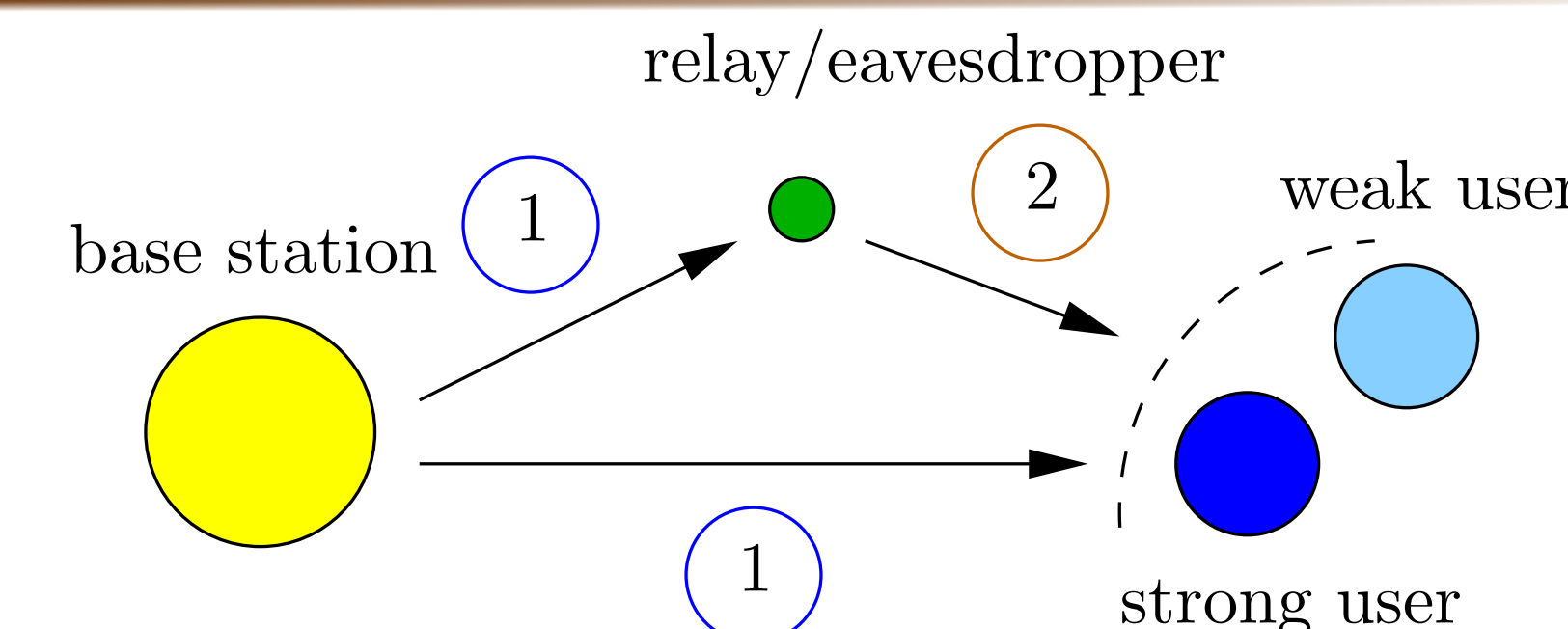
$$r_{s,1} = \left[\log(1 + |h_1|^2 \alpha P) - \log(1 + |h_r|^2 \alpha P) \right]^+$$

$$r_{s,2} = \left[\log\left(1 + \frac{|h_2|^2 \bar{\alpha} P}{1 + |h_2|^2 \alpha P}\right) - \log\left(1 + \frac{|h_r|^2 \bar{\alpha} P}{1 + |h_r|^2 \alpha P}\right) \right]^+$$

- Can we achieve higher secrecy rates by employing the **untrusted relay**?

Passive User Mode

- Communication occurs over two phases.
- Phase 1:** BS broadcasts to users and relay.
- Phase 2:** relay uses either *compress-and-forward* or *amplify-and-forward*.
- Both users *passively* listen to the communication.



Using *compress-and-forward*, the following secrecy rates are achievable with *passive users*:

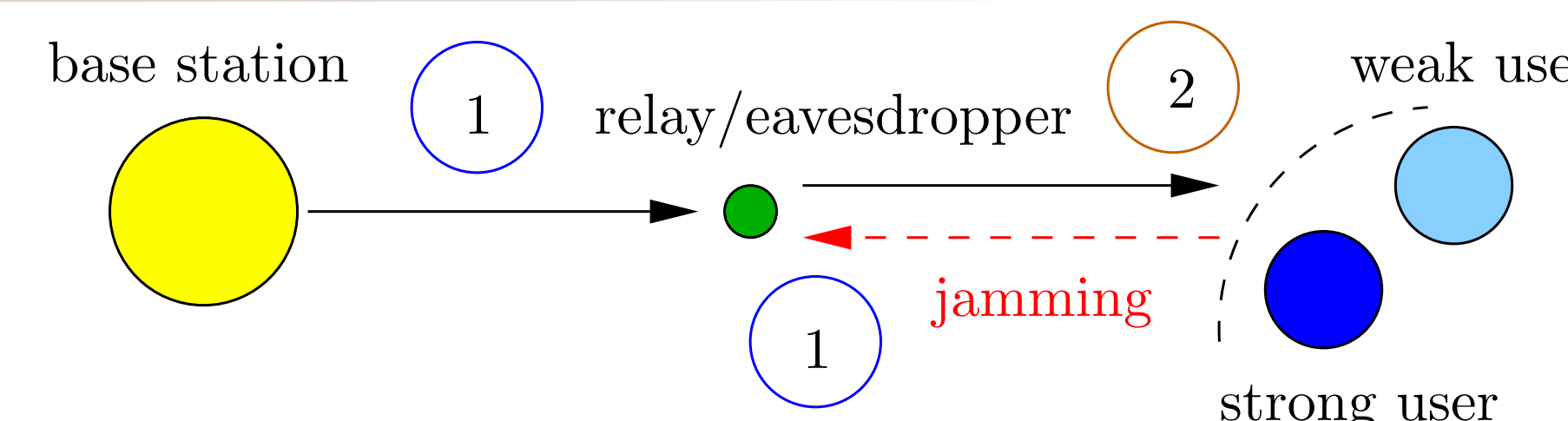
$$r_{s,1}^{CF,P} = \frac{1}{2} \left[\log \left(1 + |h_1|^2 \alpha \bar{P} + \frac{|h_r|^2 \alpha \bar{P}}{1 + \sigma_Q^2} \right) - \log(1 + |h_r|^2 \alpha \bar{P}) \right]^+$$

$$r_{s,2}^{CF,P} = \frac{1}{2} \left[\log \left(1 + \frac{|h_2|^2 \bar{\alpha} \bar{P}}{1 + |h_2|^2 \alpha \bar{P}} + \frac{|h_r|^2 \bar{\alpha} \bar{P}}{1 + |h_r|^2 \alpha \bar{P} + \sigma_Q^2} \right) - \log \left(1 + \frac{|h_r|^2 \bar{\alpha} \bar{P}}{1 + |h_r|^2 \alpha \bar{P}} \right) \right]^+$$

$\bar{P} \leq P$ is the *new* BS power, and σ_Q^2 is the quantization (compression) noise, whose value is such that decodability at *both* users is guaranteed (a function of $P - \bar{P}$).

Active User Mode

- Phase 1:** BS broadcasts to users and relay; **users transmit a jamming signal** to confuse the relay.
- Nodes are half-duplex \Rightarrow **two-hop** network.



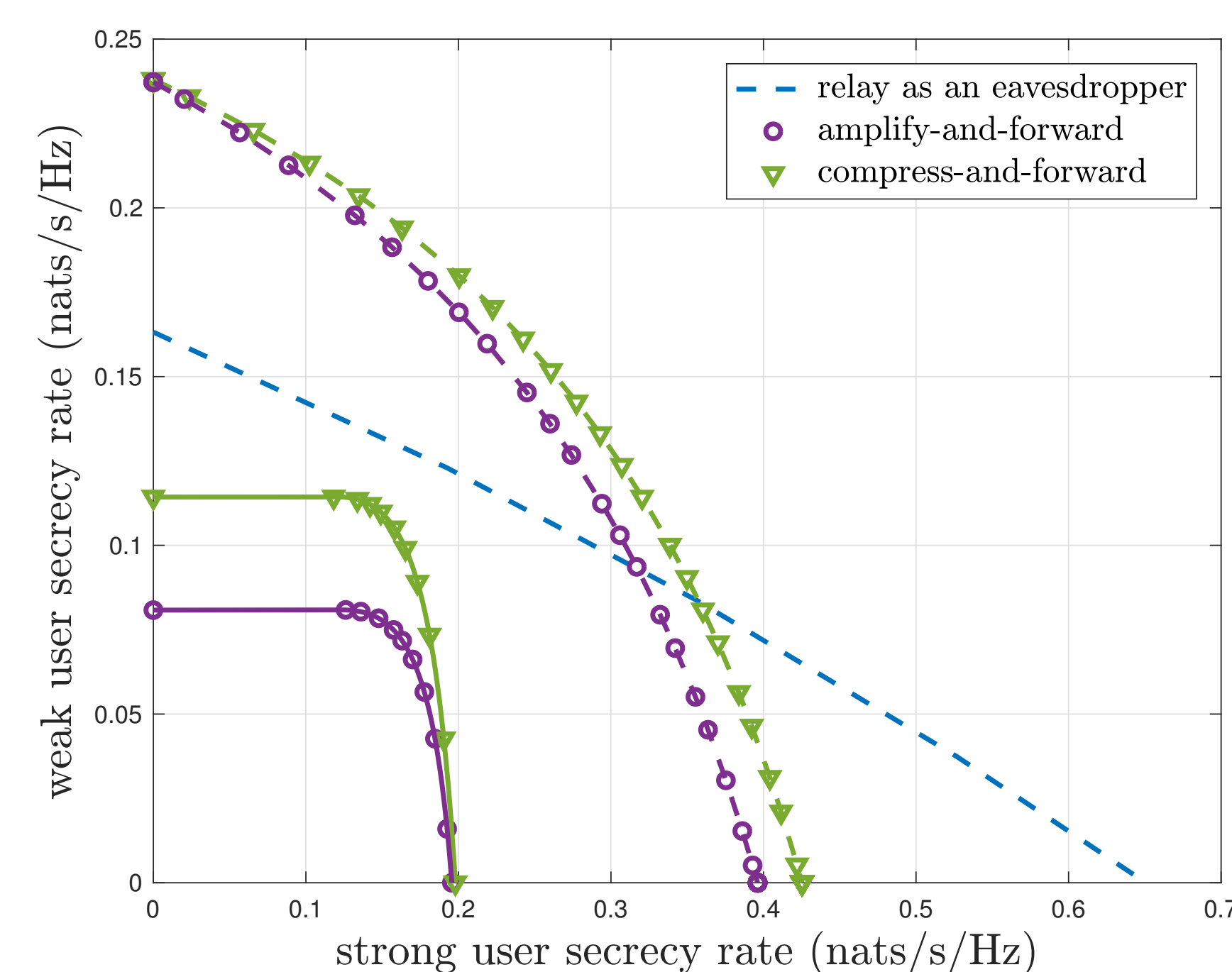
Using *compress-and-forward*, the following secrecy rates are achievable with *active users*:

$$r_{s,1}^{CF,A} = \frac{1}{2} \left[\log \left(1 + \frac{|h_r|^2 \alpha \bar{P}}{1 + \sigma_Q^2} \right) - \log \left(1 + \frac{|h_r|^2 \alpha \bar{P}}{1 + \|\mathbf{g}\|^2 \delta} \right) \right]^+$$

$$r_{s,2}^{CF,A} = \frac{1}{2} \left[\log \left(1 + \frac{|h_r|^2 \bar{\alpha} \bar{P}}{1 + |h_r|^2 \alpha \bar{P} + \sigma_Q^2} \right) - \log \left(1 + \frac{|h_r|^2 \bar{\alpha} \bar{P}}{1 + \|\mathbf{g}\|^2 \delta + |h_r|^2 \alpha \bar{P}} \right) \right]^+$$

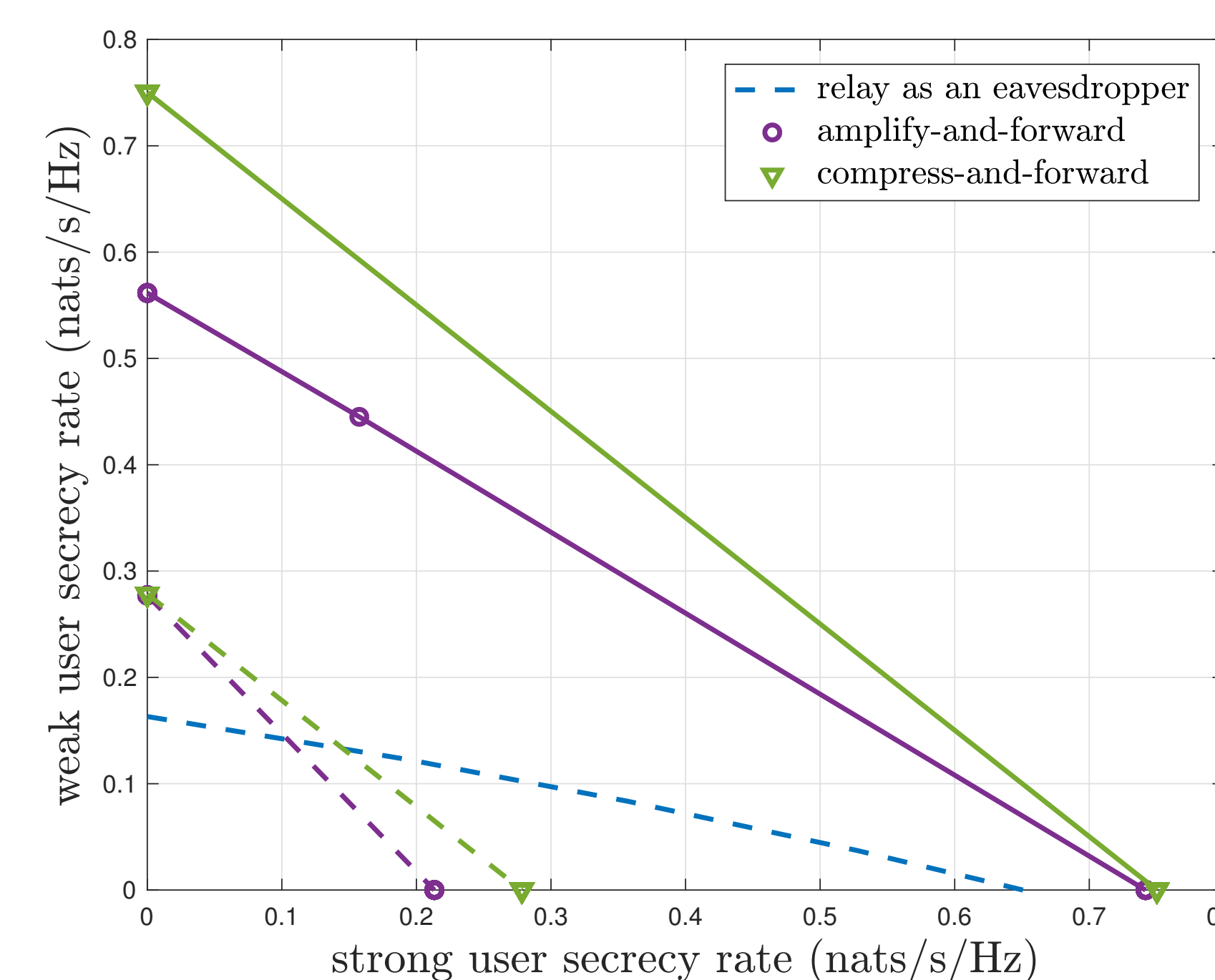
$\delta \leq P - \bar{P}$ is the users' jamming power, and $\mathbf{g} \triangleq [g_1, g_2]$.

Passive User Mode

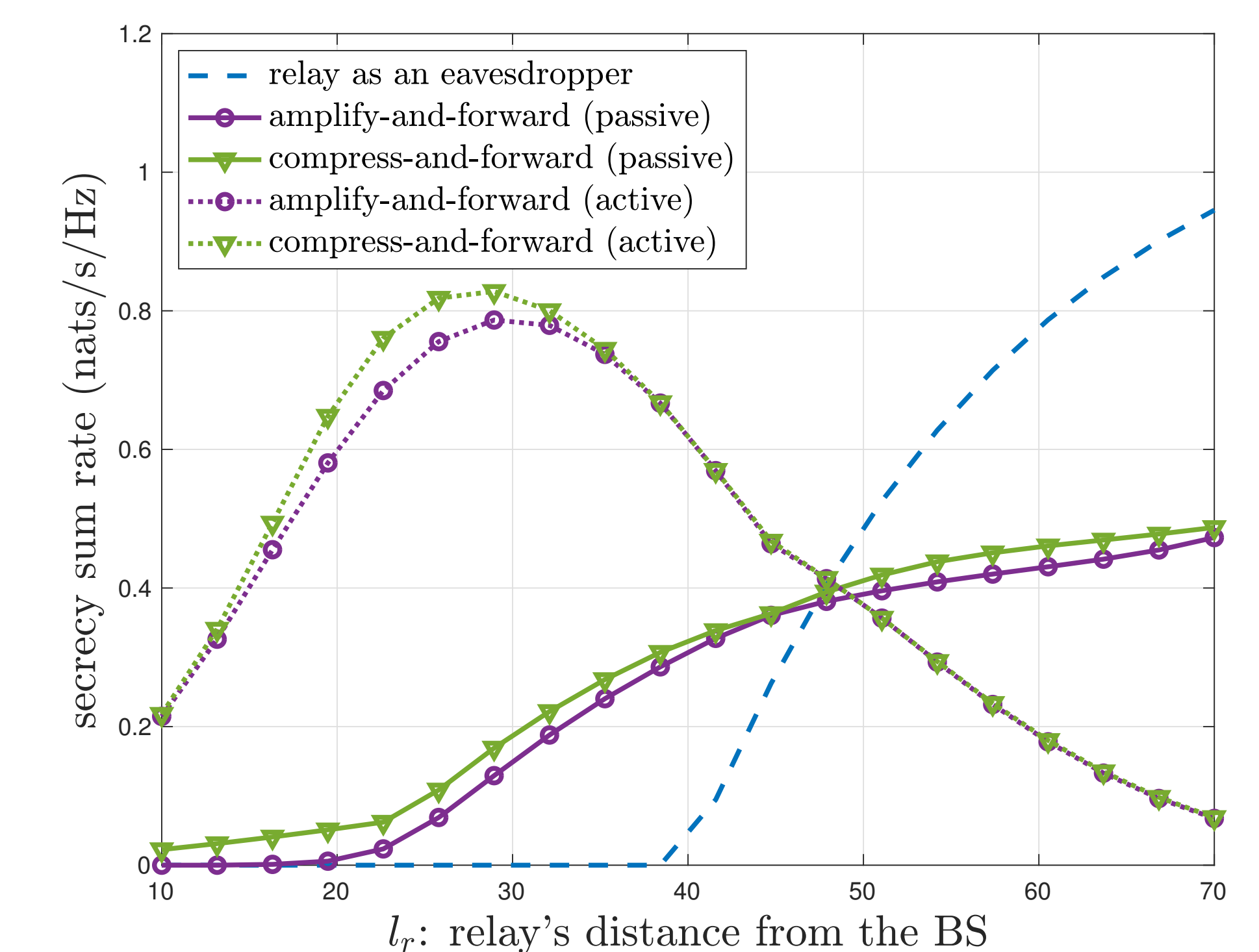
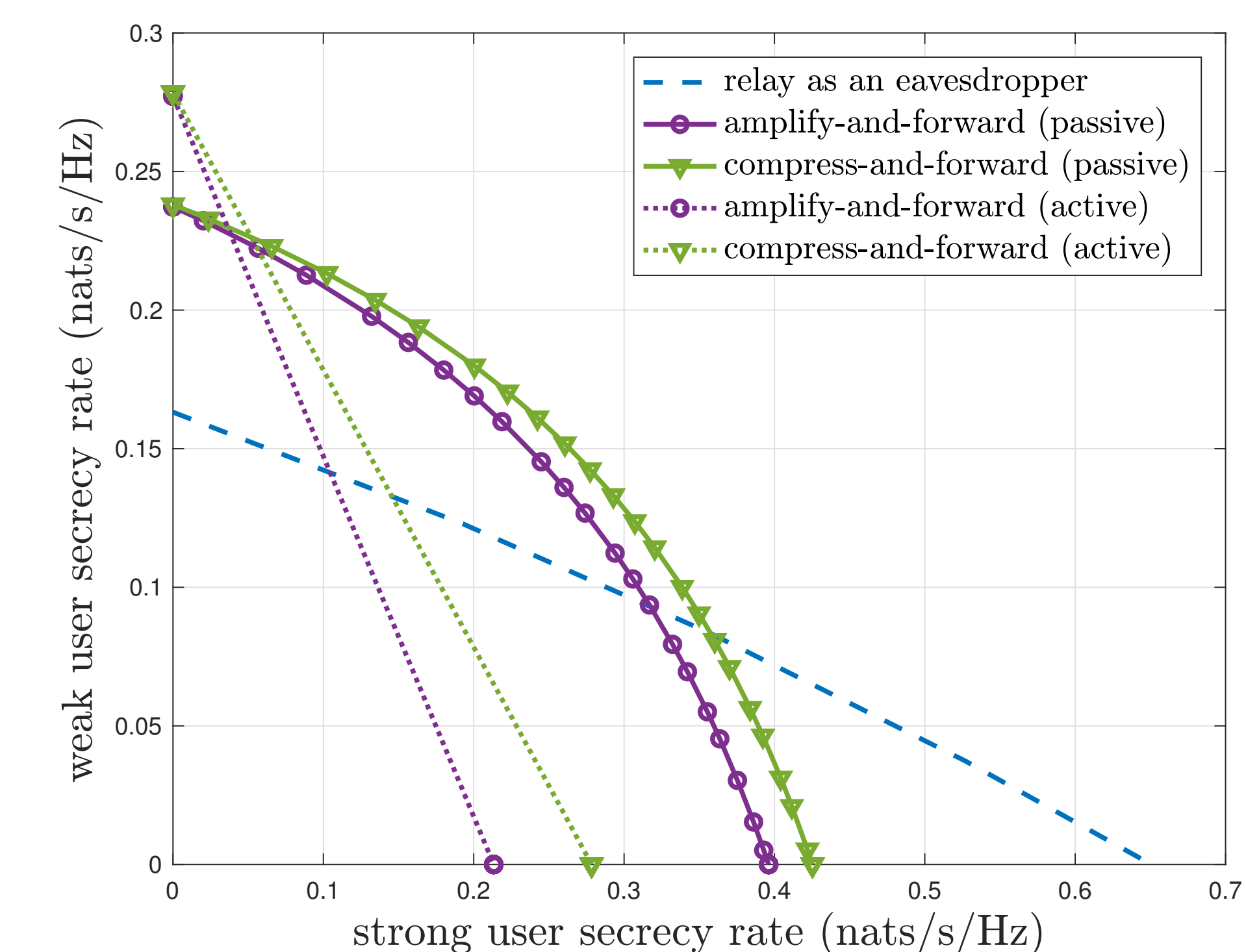


Dashed lines are when relay is further than users from BS.

Active User Mode



Passive vs. Active User Modes



Take away: best user mode and relaying scheme depends on system parameters, especially the relay's distance from BS and users.

References

- E. Ekrem and S. Ulukus, "The secrecy capacity region of the Gaussian MIMO multi-receiver wiretap channel," *IEEE Trans. Inf. Theory*, vol. 57, no. 4, pp. 2083–2114, April 2011.
- X. He and A. Yener, "Cooperation with an untrusted relay: A secrecy perspective," *IEEE Trans. Inf. Theory*, vol. 56, no. 8, pp. 3807–3827, August 2010.
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